PU01-01150



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Yamamura et al.

Serial No.: 09/987,453

Group Art Unit: 2615

Filing Date: November 14, 2001

Examiner: Unknown

For:

INFORMATION RECORDING AND REPRODUCING APPARATUS WITH A RING

BUFFER AND METHOD FOR MONITORING RING BUFFER

Assistant Commissioner of Patents Washington, D.C. 20231

#### SUBMISSION OF ASSIGNMENT

Sir:

Kindly record and return to the undersigned the attached Assignment from Yamamura et al. to Pioneer Corporation and Shizuoka Pioneer Corporation, for the above-identified patent application. The recording fee \$40.00 is included in the total fee for filing the Missing Parts for the above-identified application, a check for which accompanies this submission.

Respectfully submitted,

Sean M. McGinn

Registration No. 34,386

Date: January 25, 2002 McGinn & Gibb, PLLC Intellectual Property Law 8321 Old Courthouse Road, Suite 200 Vienna, VA 22182-3817 (703) 761-4100 Customer No. 21254

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Assistant Commissioner of Patents Washington, D.C. 20231

## SUBMISSION OF DECLARATION

Sir:

In response to the Notice to File Missing Parts of Application dated November 27, 2001 (copy enclosed), submitted herewith is the signed declaration for the above-identified patent application, along with our check in the amount of \$994 to cover the \$740 application filing fee, \$84 for one (1) independent claim over 3, the \$130 surcharge for the late filing of the declaration, and \$40 for the assignment recordation fee.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

Respectfully submitted,

Sean M. McGinn

Registration No. 34,386

Date: January 25, 2002 McGinn & Gibb, PLLC Intellectual Property Law 8321 Old Courthouse Road, Suite 200 Vienna, VA 22182-3817 (703) 761-4100 Customer No. 21254



# United States Patent and Trademark Office

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APPLICATION NUMBER . FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

09/987,453

11/14/2001

Gaku Yamamura

PU01-01150

21254 MCGINN & GIBB, PLLC 8321 OLD COURTHOUSE ROAD SUITE 200 VIENNA, VA 22182-3817



CONFIRMATION NO. 6751
FORMALITIES LETTER
\*OC00000007126482\*

Date Mailed: 11/27/2001



# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
   Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1 27)
- Total additional claim fee(s) for this application is \$84.
  - \$84 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 954.

copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/30/2002 BABRAHA1 00000064 09987453

01 FC:101 02 FC:105 03 FC:102 740.00 OP 130.00 OP 84.00 OP